



SGS QUALIFOR
(Associate Document)

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Approved by:

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APPLICATION FOR PESTICIDE DEROGATION

1. NOTES

- 1.1 All applications to be submitted in English.
- 1.2 This application must be completed in terms of the requirements of Procedure 07.
- 1.3 Completed application to be forwarded to the Programme Director/Manager for further processing.

Section A: To be completed for every individual active ingredient

Section B: To be completed for every individual certificate holder to be included in the application for a derogation

SECTION A: GENERAL REQUIREMENTS	
Category of Derogation Application	(Delete which is not applicable) A = Government-mandated emergency B = Applicant-designated emergency C = Very occasional non-emergencies (once every 10 or more years) D = Occasional non-emergencies (application once every few years, e.g. about every 5 years) E = Regular non-emergency use (annual or biannual applications)
Date of Application Submission:	
Country of Application:	
Responsible SGS Affiliate Office:	
Responsible Person:	
Active Ingredient for which derogation is requested:	
Trade name and formulation type of the active ingredient or formulation:	
Method of application and application equipment:	
Common and scientific name of the pest species:	
Name and FSC certification codes of certificate holders requesting derogation:	
Geographical Scope of requested derogations:	
Is there an accredited or preliminary accredited FSC Forest Stewardship Standard applicable	

to the territory concerned:	
Requested Time Period for Derogation: Note: Derogations shall normally be issued for a 5-year period with a presumption against renewal.	
Location of Forest:	Provide a description of where the forests are located, e.g. province, district and attach maps showing the location of the forests in a catchment ¹ . Provide a brief description of buffer zones, sensitive areas, nature reserves, water bodies, etc. (refer below).
Type of Forest:	Natural, Semi-natural, Mixed forests, Plantations
Expected area of use:	Indicate in ha, the expected area of application
<u>DEMONSTRATED NEED:</u>	
Need may be demonstrated where:	
The pesticide is used for protecting native species and forests against damage caused by introduced species or for protecting human health against dangerous diseases,	
OR	
Use of the pesticide is obligatory under national laws or regulations,	
OR	
Use of the pesticide is the only economically, environmentally, socially and technically feasible way of controlling specific organisms which are causing severe damage in natural forests or plantations in the specified country (as indicated by consideration, assessments and preferably field-trials of alternative non-chemical or less toxic pest management methods)	
Statement of the pest problem: In no more than 500 words, state the nature of the pest problem for which you are seeking a derogation including information on whether the pest species are native, endemic, exotic, common etc). If insecticides, fungicides, or rodenticides are used to control more than one pest species simultaneously, it should be shown for <i>each</i> species individually that there is a need to use the 'highly hazardous' pesticide and that damage caused or potential damage is 'severe'. Include in your statement an estimate of the damage by area (%ha) and or the dollar value of the potential damage to your operation from the pest problem, justifying your estimate with supporting evidence.	
Explain how the proposed use complies with the specified criteria for need, including the consideration of alternatives which do not require the use of pesticides on the FSC list of 'highly hazardous' pesticides.	
Comparative Analysis	
Include a comparative Cost/Benefit Analysis (including the social, environmental and economic aspects or costs) of using the requested pesticide versus at least five non-highly hazardous control alternatives, justifying the analysis and conclusions with supporting evidence such as field trial reports, IPM reports, EIAs, SIAs etc. (If the costs cannot be quantified compare the disadvantages and advantages of different methods.)	
<u>STAKEHOLDER CONSULTATION</u>	
All applications for derogations shall present the results of the stakeholder opinions and comments on the need to use the 'highly hazardous' pesticide in the country/region concerned and on adequacy of control mechanisms to prevent, minimize and mitigate negative impacts. It shall also demonstrate how stakeholder comments were taken into account in its derogation application	
Nature of information provided:	Description of the nature of the information provided and consultation undertaken with potentially affected groups, and local communities (e.g. neighbours on directly adjoining land) who use managed forests for various purposes (as a source of groundwater, for hunting, fishing or gathering medicinal or edible plants..) and those stakeholders with the more general interest regarding the use of pesticides
Consultation mechanism:	Description of the consultation mechanism (i.e. public notices in local newspapers or on local radio stations, letters sent to potentially affected persons, meetings, field observations etc) used to inform, consult and receive significant feedback from the majority of the potentially affected persons
Evidence of consultation	Evidence of balanced stakeholder consultation with: <ul style="list-style-type: none"> Potentially (directly or indirectly) affected persons or groups of individuals Local/regional environmental organisations (non-governmental organizations) Local/regional government (environmental authorities) Representatives of the local community (e.g. contacted at community meetings)

¹ Maps must show the location of the FMU within the relevant catchment/sub-catchment area.

	<ul style="list-style-type: none"> Representatives of the forest industry
FSC National Initiatives	Where FSC National Initiatives exist, there is evidence that the NIs were given the option of carrying out the consultation process including a written offer of covering the costs associated with the consultation process). Evidence must be provided that the NI formally declined this option.
Summary of comments	A summary of the comments received and any responses made is presented for each stakeholders category (refer to 'Evidence of consultation' above). Explanations should be given of how stakeholder concerns were addressed. Where necessary, the original stakeholder comments may be requested.

SECTION B: CERTIFICATE SPECIFIC REQUIREMENTS

Certificate Holder:		Cert. No.	
<u>CONTROLS TO MANAGE ENVIRONMENTAL IMPACTS</u>			
<p>If the specified formulation is considered to reduce the level of hazard then the information on which this claim is based shall be presented, and the applicant shall provide credible independent, third party support for the claimed reduction of hazard. The derogation applicant shall declare all the other agents in the formulation.</p>			
<p>(a) Description of the nature of the controls that will be implemented for the use of the derogated pesticide to mitigate and minimise any deleterious effects on ecological, social and economic values within and beyond the management unit. References to national laws/ regulations on safety measures should be made and any additional safety measures to supplement these laws/ regulations should be stated. Include information on the application (method and rate) and approximate timing of main treatments. Include an estimate of the amount of area over which the pesticide is to be applied and how much of the pesticide is expected to be used annually. In addition, include a copy of Safe Work Procedures.</p> <p>(b) Optional: Description of any relevant site-specific conditions that might mitigate likely negative effects resulting from the derogated pesticide within the proposed management unit(s), and/or description of mitigating properties specific to the formulation/product used.</p>			
<u>PROGRAMME TO IDENTIFY ALTERNATIVES</u>			
<p>The certificate holder shall describe the programme(s) which are in place or which will be put in place during the period over which the derogation will be applicable, designed to identify alternative pest control methods which do not use highly hazardous pesticide. The certificate holder shall describe in detail what kind of alternatives or silvicultural measures will be investigated on what area and what research partners may be involved (e.g. university, enterprise, government agency, or other certificate holders). A timetable and documentation (on ongoing activities, targets, resources) has to be provided regarding the program for alternatives. The applicant should include information on the authorities for pesticide registration and which other non 'highly hazardous' pesticides are registered in their country for controlling the pest organism.</p>			
<p>a. Nature of research and development (R&D) on alternative pest management regimes in the short, medium and longer-term research plan covering the 5-year derogation period and including:</p> <ul style="list-style-type: none"> the resources devoted to the R&D; expected timelines; results from ongoing field trials with alternatives (outcomes); and relevant supporting studies. <p>b. With respect to (a) above, a clear distinction of the research and development programmes that are run by the forest enterprises themselves and research conducted in collaboration with other research agencies/institutions(e.g. Universities) or commercial enterprises.</p> <p>c. A list of all registered pesticides available for the control of the targeted pest species</p> <p>d. The proportion of pest control intended to be achieved during the derogation period via the use of:</p> <ul style="list-style-type: none"> Chemical methods: requested 'highly hazardous' pesticide and other non 'highly. Pesticides; and Non-chemical methods <p>e. Where the derogation applicants have established a common (joint venture) program(s) for researching into 'non-highly hazardous' alternatives, there should be evidence of control systems to ensure the consistent implementation of the stated program.</p>			

End of Associated Document